

FORM A

Federal Reserve Bank Of Richmond

FINANCIAL DISCLOSURE REPORT

Reporting Status <i>(Check Appropriate Box)</i> <input checked="" type="checkbox"/> Incumbent <input type="checkbox"/> New Filer	[For incumbent filers] Calendar Year Covered By Report 2022	Date of Appointment January 1, 2018	INTERNAL-FR Reporting Periods Incumbents: The reporting period is the preceding calendar year except with regard to Part II of Schedule C and Schedule D where you must also include the filing year up to the date you file. New Filers: Schedule A-The reporting period for income is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date that is less than 31 days before the date of filing. Schedule B-Not applicable. Schedule C, Part I (Liabilities)-The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date filing. Schedule C, Part II (Agreement or Arrangement)-Show any agreement or arrangement as of the date of filing. Schedule D, Part I (Outside Positions)-The reporting period is the preceding two calendar years and the current calendar year up to the date of filing. Schedule D, Part II (Other Situations)-The reporting period is as of the date of filing.
Last Name		First Name and Middle Initial	
Barkin		Thomas I.	
Position			
President and Chief Executive Officer			
Certification	Signature of Reporting Individual	Date	
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[REDACTED]	5/15/23	
Reserve Bank Ethics Officer's Review	Signature of Reserve Bank Ethics Officer	Date	
On the basis of information contained in this report and in consultation with the Board's Designated Agency Ethics Official, I conclude that the filer is in compliance with applicable ethics laws and policies.	[REDACTED]	[REDACTED]	5/17/2023
Board Designated Agency Ethics Official's Review	Signature of Board Designated Agency Ethics Official	Date	
On the basis of information contained in this report and in consultation with the Reserve Bank Ethics Officer, I conclude that the filer is in compliance with applicable ethics laws and policies.	SEAN CROSTON Digitally signed by SEAN CROSTON Date: 2023.05.18 20:50:30 -04'00'	5/18/23	
Comments of Reviewing Officials <i>(If additional space is required, use the reverse side of this sheet)</i>			
<p>Three bonds that matured during an FOMC blackout period were reported; however, the maturations were automatic and filer had no control over the timing of when the bonds reached maturity.</p> <p>You may use a separate page if you need additional space to complete this Form A or any of its Schedules. <i>Check box if comments are continued on the reverse side</i> <input type="checkbox"/></p>			

Part I: Transactions

None

Report any purchase, sale or exchange by you, your spouse or dependent child during the reporting period of any real estate, stocks, bonds, commodity futures and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction

involving real estate used solely as your personal residence (unless rented out), or a transaction solely between you, your spouse or dependent child. Check the "Required divestiture" block to indicate sales made pursuant to a divestiture required by the Bank.

Identification of Assets		OWNER	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (X)						Required divestiture
			Purchase	Sale	Exchange		\$1,000 - \$50,000	\$50,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000		
Example:	Central Airlines Common	S	x			2/1/93	x						
1	State of Georgia 529 in school enrollment portfolio	J		X		6/27/22	X						
2	State of Georgia 529 in school enrollment portfolio	J		X		7/11/22	X						
3	Schwab acct: GA ST HSG & FA REV 6/1/25	J		X		8/29/22		X					
4	Schwab acct: ishares select dividend (TICKER: DVY)	J	X			8/29/22				X			
5	Vanguard acct: Energy Admiral (TICKER: VGELX)	J		X		4/18/22					X		
6	Truist acct: ishares core agg bond etf (TICKER: AGG)	J		X		4/18/22		X					
7	Schwab acct: COBB CO & MARIETA WATR DUE 11/01/25	J		X		11/8/22		X					
8	Schwab acct: GA ST UTX DUE 01/01/25	J		X		11/8/22		X					
9	Schwab acct: GA ST HSG & FA DUE 12/01/25	J		X		11/8/22	X						

Part II: Gifts

To the extent not previously reported in writing, report the source, a brief description, and the value of any gifts, as defined in the instructions, received by you, your spouse or dependent child from one source and totaling \$250 or more. Exclude gifts from relatives, gifts valued at

\$100 or less when aggregating gifts for the total from one source, and gifts received by your spouse or dependent child that were given totally independent of the relationship to you. See the instructions for further exclusions.

None

	Source (Name and Address)	Brief Description	Value
1	N/A		
2			
3			
4			

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None

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			Purchase	Sale	Exchange		\$1,000 - \$50,000	\$50,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000		
Example:	Central Airlines Common	S	x			2/1/93	x						
10	Schwab acct: SPDR DOW JONES REIT ETF	J	X			11/8/22			X				
11	Schwab acct: General Dynamics Corporate Bonds matured	J				11/15/22			X				
12	Schwab acct: Pepsi Corporate Bonds matured	J				3/5/22			X				
13	Schwab acct: Glaxo Corporate Bonds matured	J				5/8/22		X					
14	Schwab acct: Eli Lilly Corporate Bonds matured	J				5/15/22			X				
15	Schwab acct: Qualcomm Corporate Bonds matured	J				5/20/22			X				
16	Schwab acct: Home Depot Corporate Bonds matured	J				5/1/22			X				
17	Schwab acct: United Health Corporate Bonds matured	J				10/15/22		X					
18	Schwab acct: Georgia State Bonds - Cobb Marietta GA matured	J				1/1/22	X						

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\$100 or less when aggregating gifts for the total from one source, and gifts received by your spouse or dependent child that were given totally independent of the relationship to you. See the instructions for further exclusions.

None

	Source (Name and Address)	Brief Description	Value
1			
2			
3			
4			

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None

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involving real estate used solely as your personal residence (unless rented out), or a transaction solely between you, your spouse or dependent child. Check the "Required divestiture" block to indicate sales made pursuant to a divestiture required by the Bank.

Identification of Assets		O W N E R	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (X)						Required divestiture
			Purchase	Sale	Exchange		\$1,000 - \$50,000	\$50,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000		
Example:	Central Airlines Common	S	x			2/1/93	x						
19	Schwab acct: Georgia State Bonds - Georgia State called	J				7/7/22		X					
20	Schwab acct: Georgia State Bonds - GA ST HSG & FA matured	J				9/1/22		X					
21	Schwab acct: Georgia State Bonds - GA ST HSG & FA matured	J				9/1/22	X						
22	Schwab acct: Georgia State Bonds - GA ST GO UTX matured	J				10/1/22		X					
23	Schwab acct: Georgia State Bonds - GA ST called	J				10/1/22				X			
24	Schwab acct: Georgia State Bonds - GA ST called	J				10/1/22				X			
25	Schwab acct: Georgia State Bonds - GA ST matured	J				11/1/22	X						
26	Schwab acct: Georgia State Bonds - GA ST HSG & FA matured	J				12/1/22		X					
27	Schwab acct: Georgia State Bonds - GA ST HSG & FA matured	J				12/1/22	X						

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To the extent not previously reported in writing, report the source, a brief description, and the value of any gifts, as defined in the instructions, received by you, your spouse or dependent child from one source and totaling \$250 or more. Exclude gifts from relatives, gifts valued at

\$100 or less when aggregating gifts for the total from one source, and gifts received by your spouse or dependent child that were given totally independent of the relationship to you. See the instructions for further exclusions.

None

	Source (Name and Address)	Brief Description	Value
1			
2			
3			
4			

Part I: Liabilities

None

Report any liability owed during the reporting period to any of the following by you, your spouse or dependent child: a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding

company, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank. See the instructions for certain exclusions.

					Category of Amount or Value (x)				
					D E B T O R	ID-RSSD	\$0 - \$50,000	\$50,001 - \$150,000	\$150,001 - \$500,000
Creditor (Name and Address)		Type of Liability							
Example:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	J		X				
1	N/A								
2									
3									
4									
5									
6									
7									

To your knowledge, were any of the foregoing extensions of credit made on terms more favorable than offered to a person not employed by the Bank? Yes No If so, explain.

Part II: Agreements or Arrangements

Report any agreement or arrangement for future employment, leave of absence from or continuation of payment by a former employer (including severance payments), or continuing

participation in an employee benefit plan. This part applies only to the person filing the report. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 11/93 and retained pension benefits (independently managed, fully funded, defined contribution plan)	Doe Jones & Smith, Hometown, USA	7/85
1	McKinsey 401k (Profit-Sharing Retirement Plan)	McKinsey and Co, NYC	8/31/87
2			
3			

Part I: Positions Held Outside the Federal Reserve Bank

None

Report any position held by you during the reporting period, whether compensated or not. A position includes but is not limited to employee, officer, owner, director, trustee, partner, advisor or consultant of any corporation, firm, partnership or other business enterprise, or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal or political entities, and those solely of an honorary nature. Also report any position held by your spouse, a child, parent or sibling with a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding company, primary government securities dealer, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank.

	Organization (Name and Address)	Holder	Type of Organization	Position	From (Mo. Yr.)	To (Mo. Yr.)
Examples:	Mo. Bar Assoc., Jefferson, City MO	E	Professional	Director	9/93	Present
	ABC Bank, Hometown, USA	Brother	Bank	Loan Officer	7/85	Present
1	Emory University/Health Care	self	educational	Trustee	2015	present
2	US Golf Association	self	nonprofit	Executive Committee	2017	present
3	Terry S Barkin children grantor trusts	self	family trust	Trustee	2016	present
4	Richmond Management Round Table	self	business organization	Member	1/2018	present
5	Greater Washington Partnership	self	nonprofit	Honorary Advisory Member	6/2018	present
6	Community Foundation for a greater Richmond	self	nonprofit	Board Member	1/2021	present
7						
8						
9						
10						

Part II: Other Situations

Describe any other relationship or circumstances that you believe might constitute an actual or apparent conflict of interest or violation of law or Bank policy. Provide all relevant information.

N/A